



# Numerical Galaxy Formation & Cosmology

## Lecture I: Motivation and Initial Conditions

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# Outline of the lecture course

- Lecture 1: Motivation & Initial conditions
- Lecture 2: Gravity algorithms & parallelization
- Lecture 3: Hydro schemes
- Lecture 4: Radiative cooling, photo heating & Subresolution physics
- Lecture 5: Halo and subhalo finders & Semi-analytic models
- Lecture 6: Getting started with Gadget
- Lecture 7: Example galaxy collision
- Lecture 8: Example cosmological box

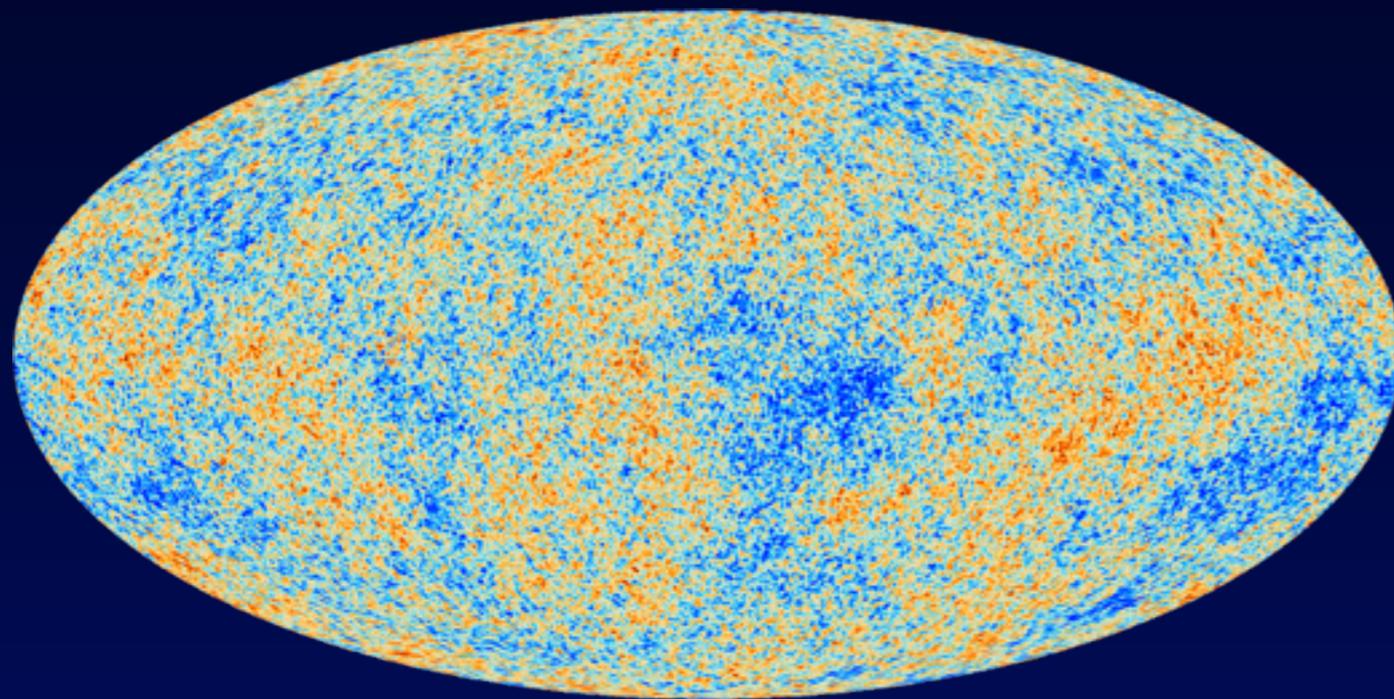
# Outline of this lecture

- Motivation for simulations and semi-analytic models
  - ▶ Observations at high redshift (CMB) and low redshift (SDSS)
  - ▶ Linear density perturbations
- Initial conditions for cosmological simulations
  - ▶ Zel'dovich Approximation
  - ▶ Putting particles in a simulation box
  - ▶ Zoom simulations
- Initial conditions for disc galaxy simulations
  - ▶ Properties of disc galaxies
  - ▶ Creating a particle representation of a disc galaxy

# Observing large scale structure

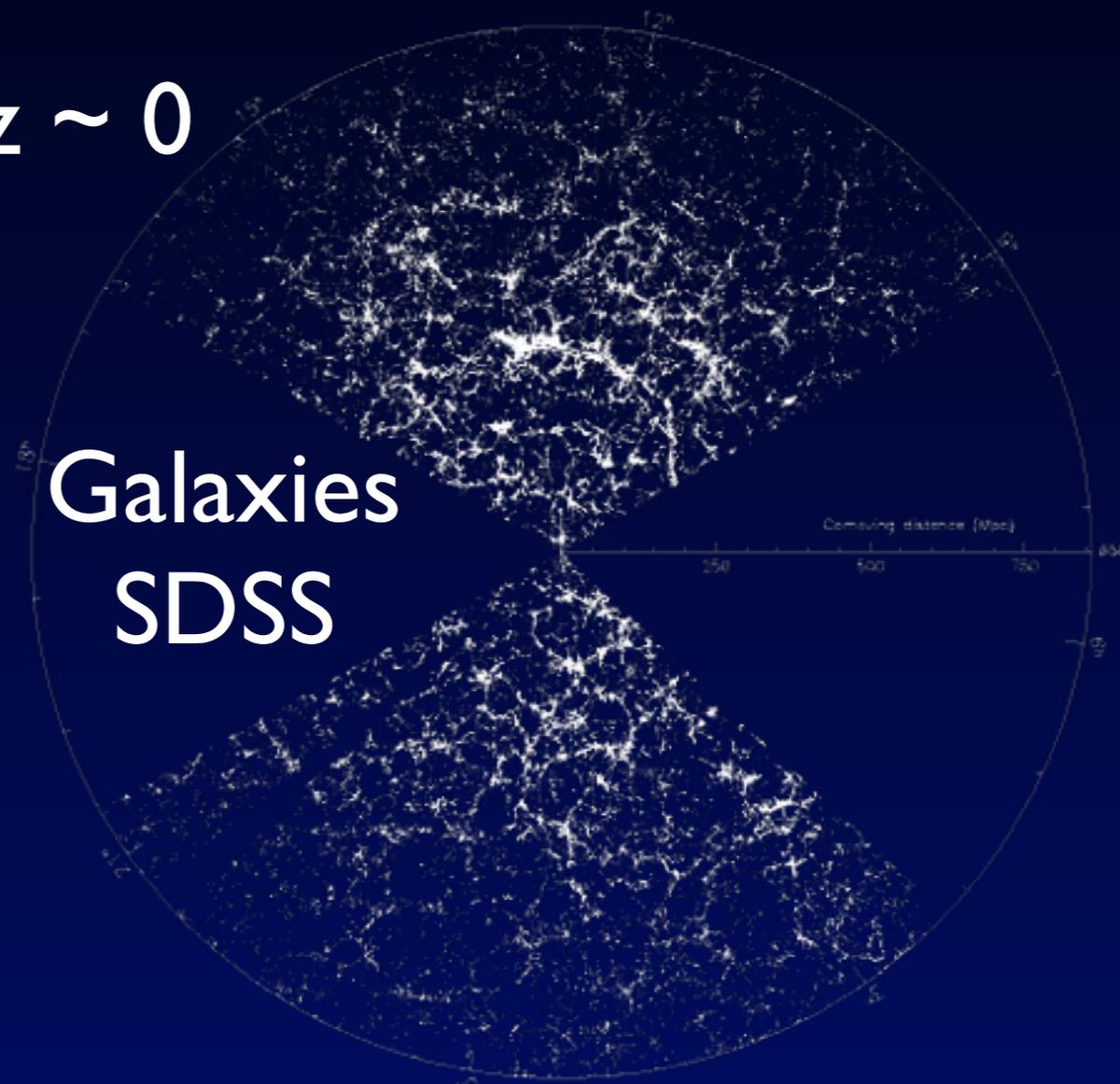
- Cosmic structure can be observed at very high redshift ( $z > 1000$ ): CMB  
Very smooth, only small perturbations ( $10^{-5}$ )
- At low redshift: galaxies are very clustered forming a 'cosmic web'.

$z > 1000$



CMB - Planck

$z \sim 0$

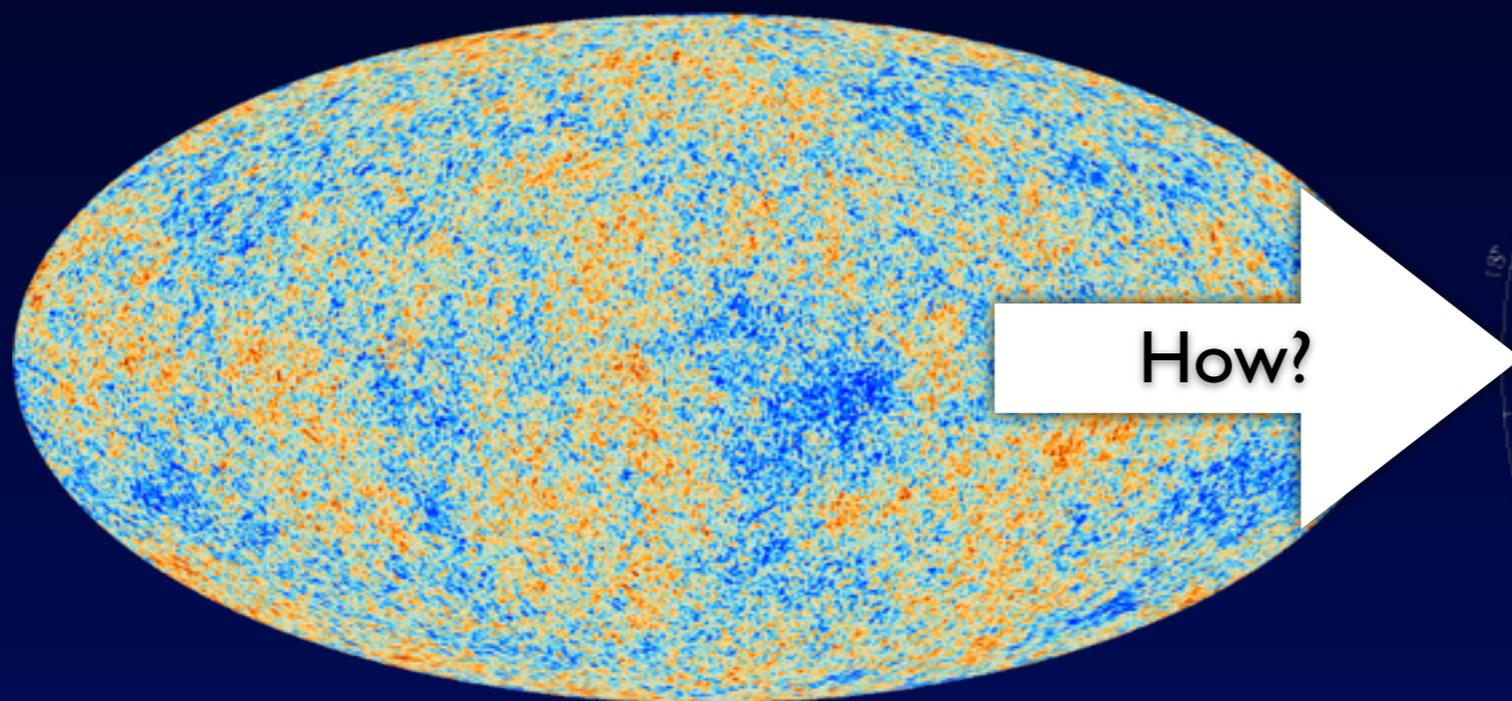


# Observing large scale structure

- Things to keep in mind:
  - ▶ Structure formation process is dominated by gravity
  - ▶ Galaxies are only tracers of cosmic structure (<3% of all mass)
  - ▶ Galaxy formation depends on 'baryonic physics'

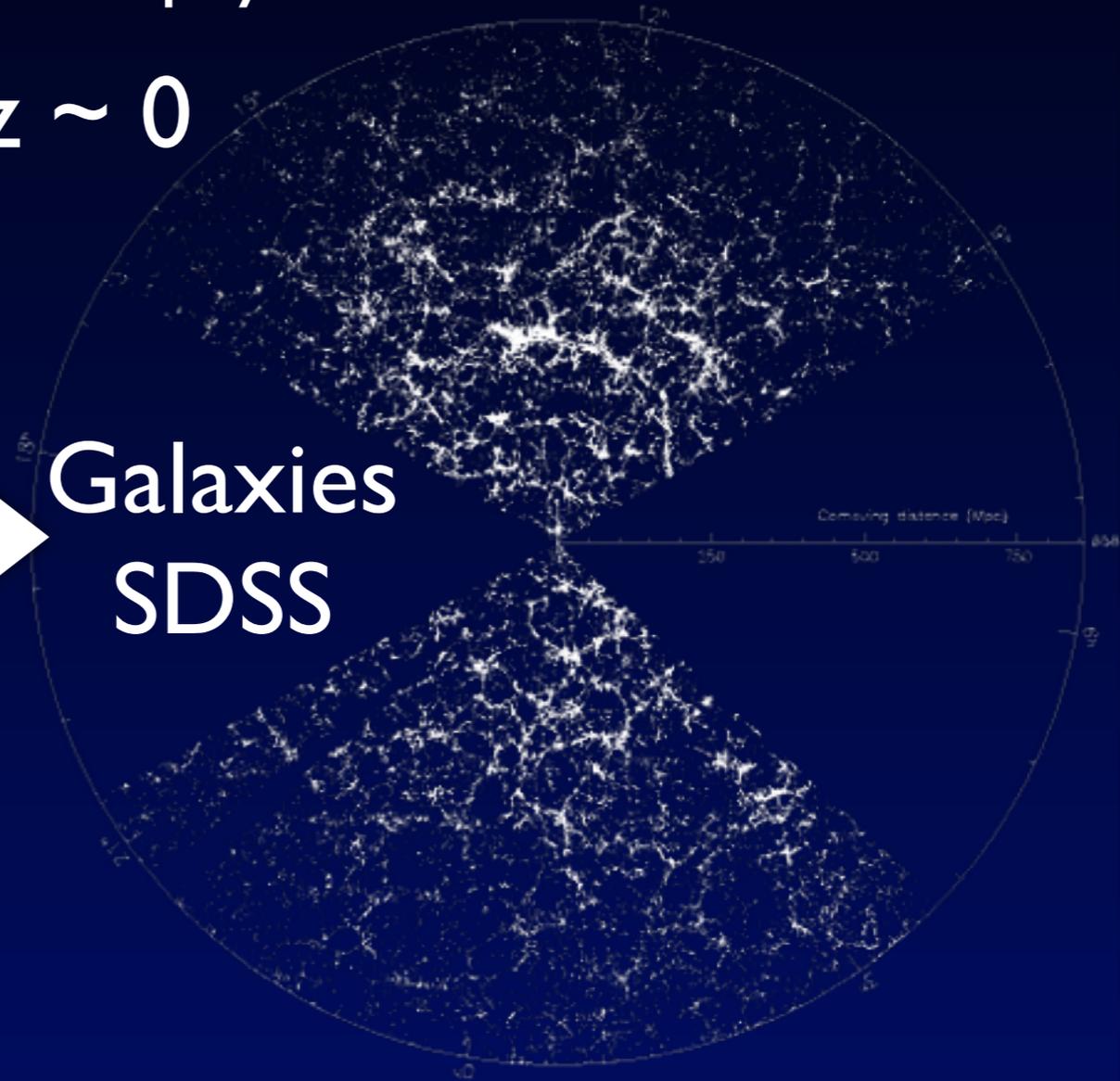
$z > 1000$

$z \sim 0$



How?

Galaxies  
SDSS



CMB - Planck

# What about linear perturbation theory?

- How far can we push it?

- A quick recap of cosmological perturbation theory:

$$\vec{r} = a\vec{x} \quad \vec{x} \quad \text{comoving position}$$
$$\vec{v} = \dot{\vec{r}} = \vec{u} + \frac{\dot{a}}{a}\vec{r} \quad \text{with} \quad \vec{u} = a\dot{\vec{x}} \quad \vec{u} \quad \text{peculiar velocity}$$

momentum conservation:

$$\frac{\partial \vec{v}}{\partial t} + (\vec{v} \cdot \vec{\nabla}_{phys}) \vec{v} = -\vec{\nabla}_{phys} \phi$$

comoving (1st order):

$$\frac{\partial \vec{u}}{\partial t} + \frac{\dot{a}}{a} \vec{u} = -\frac{1}{a} \vec{\nabla} \phi$$

continuity equation:

$$\frac{\partial \rho}{\partial t} + \vec{\nabla}_{phys}(\rho \vec{v}) = 0$$

$$\frac{\partial \delta}{\partial t} + \frac{1}{a} \vec{\nabla} \cdot \vec{u} = 0$$

with

$$\delta = \frac{\rho - \bar{\rho}}{\bar{\rho}}$$

Poisson equation:

$$\vec{\nabla}_{phys}^2 \phi = 4\pi G \rho$$

$$\vec{\nabla}^2 \phi = 4\pi G a^2 \bar{\rho} \delta$$

# Linear growth of structures

- Combining this we get the evolution of the density contrast  $\delta$

$$\ddot{\delta} + 2\frac{\dot{a}}{a}\dot{\delta} = 4\pi G\frac{\bar{\rho}_c}{a^3}\delta \quad \text{solved by growth function } D(a): \quad \delta(a) = \delta_0 D(a)$$

- For a matter dominated universe we have  $D(a) \sim a$

- Can be decomposed into waves:  $\delta(\vec{x}) = \int \hat{\delta}(\vec{k}) e^{-i\vec{k}\vec{x}} d^3k$

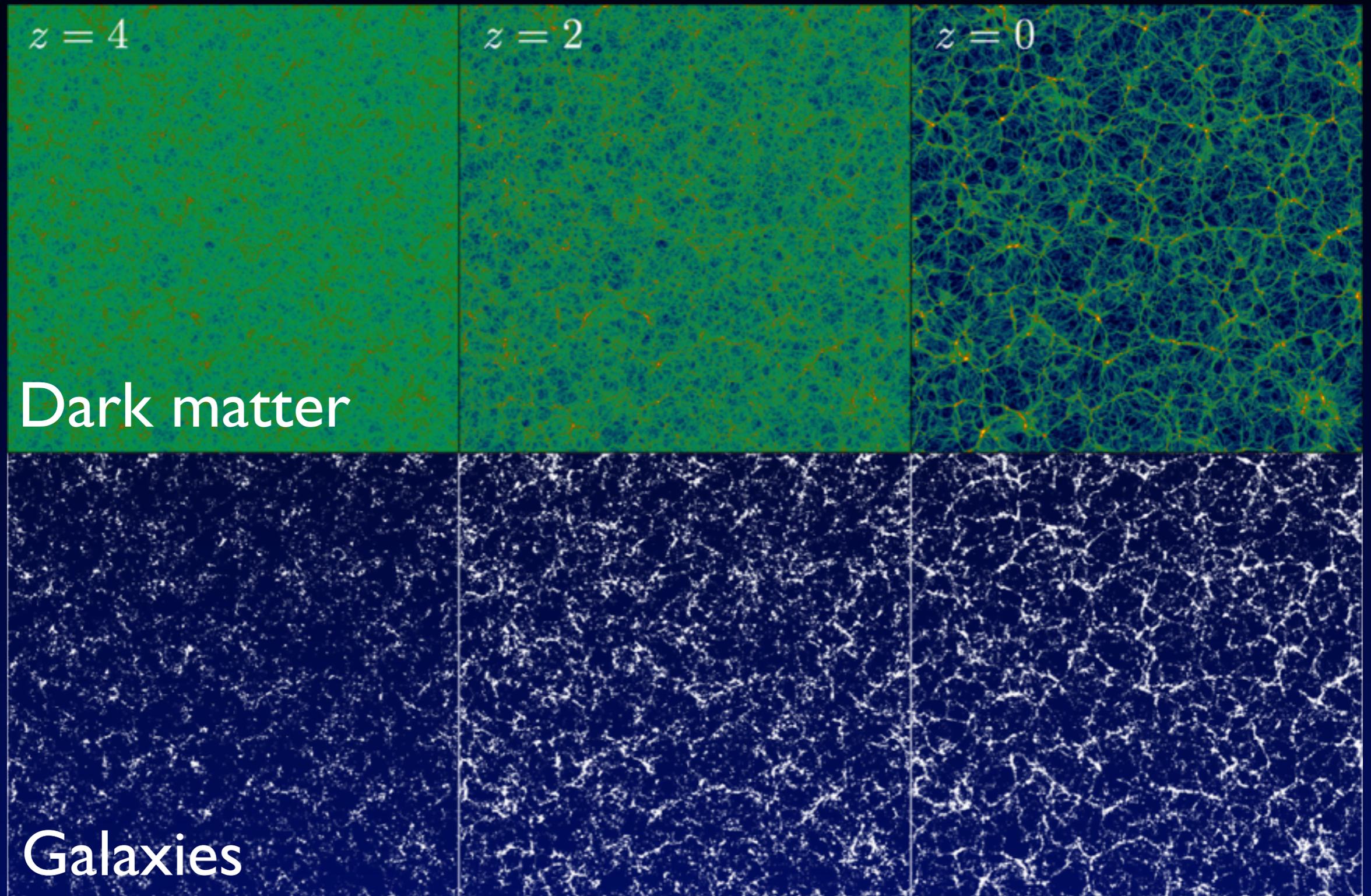
Power spectrum is  $P(k) = \langle |\hat{\delta}(k)|^2 \rangle$  (where  $\langle \rangle$ : ensemble average)

and it grows like  $P(k) = P_0 D^2(a)$

- Formalism works as long as  $\delta \ll 1$
- Breaks down when  $\delta \sim 1$  (negative densities)
- Either go to higher order (still no ‘baryonic physics’) or use simulations

# From high to low redshift

- Cosmological model + initial conditions + simulation code = galaxies

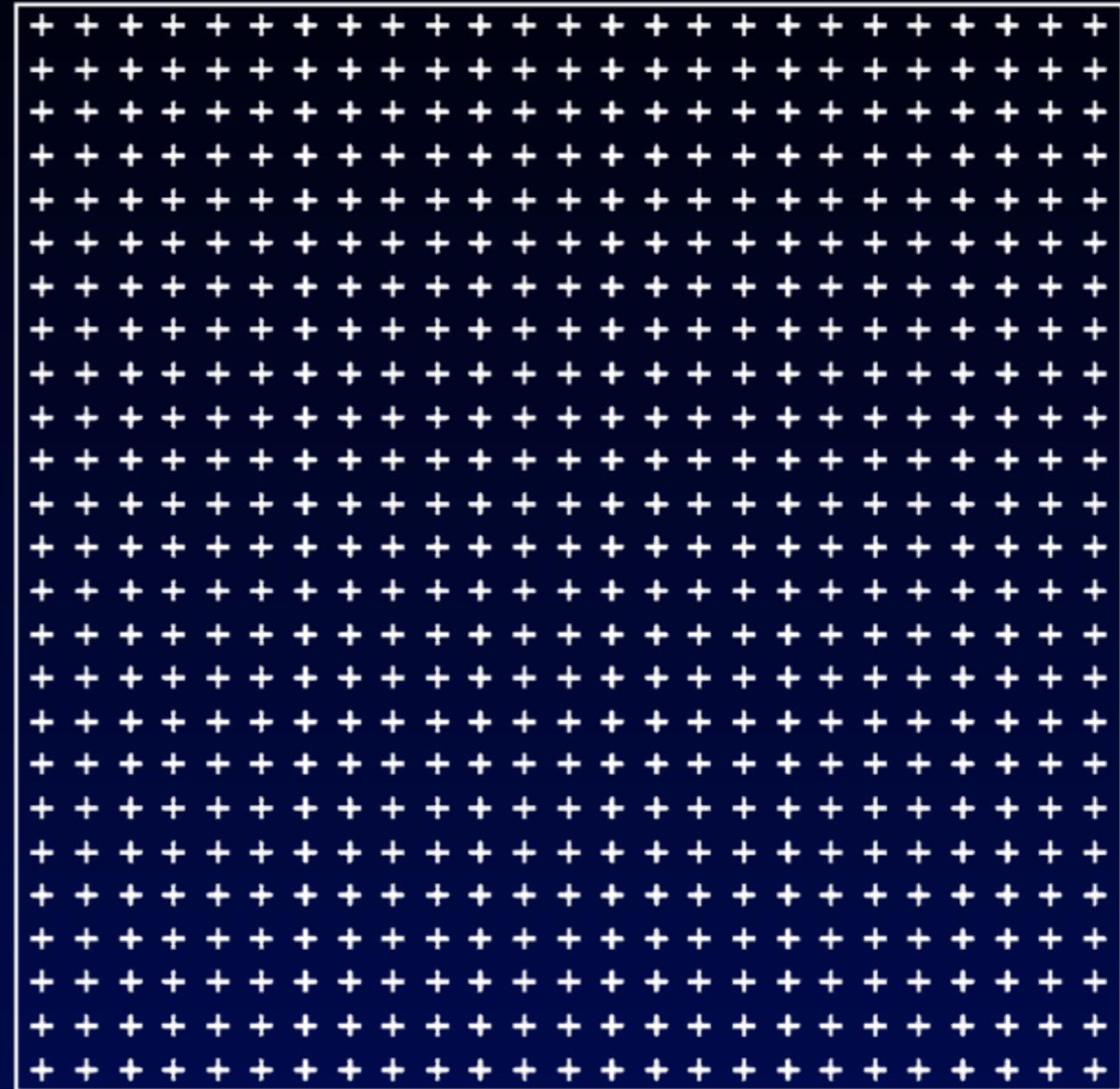


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  - ▶ Zel'dovich Approximation
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# Cosmological Principle

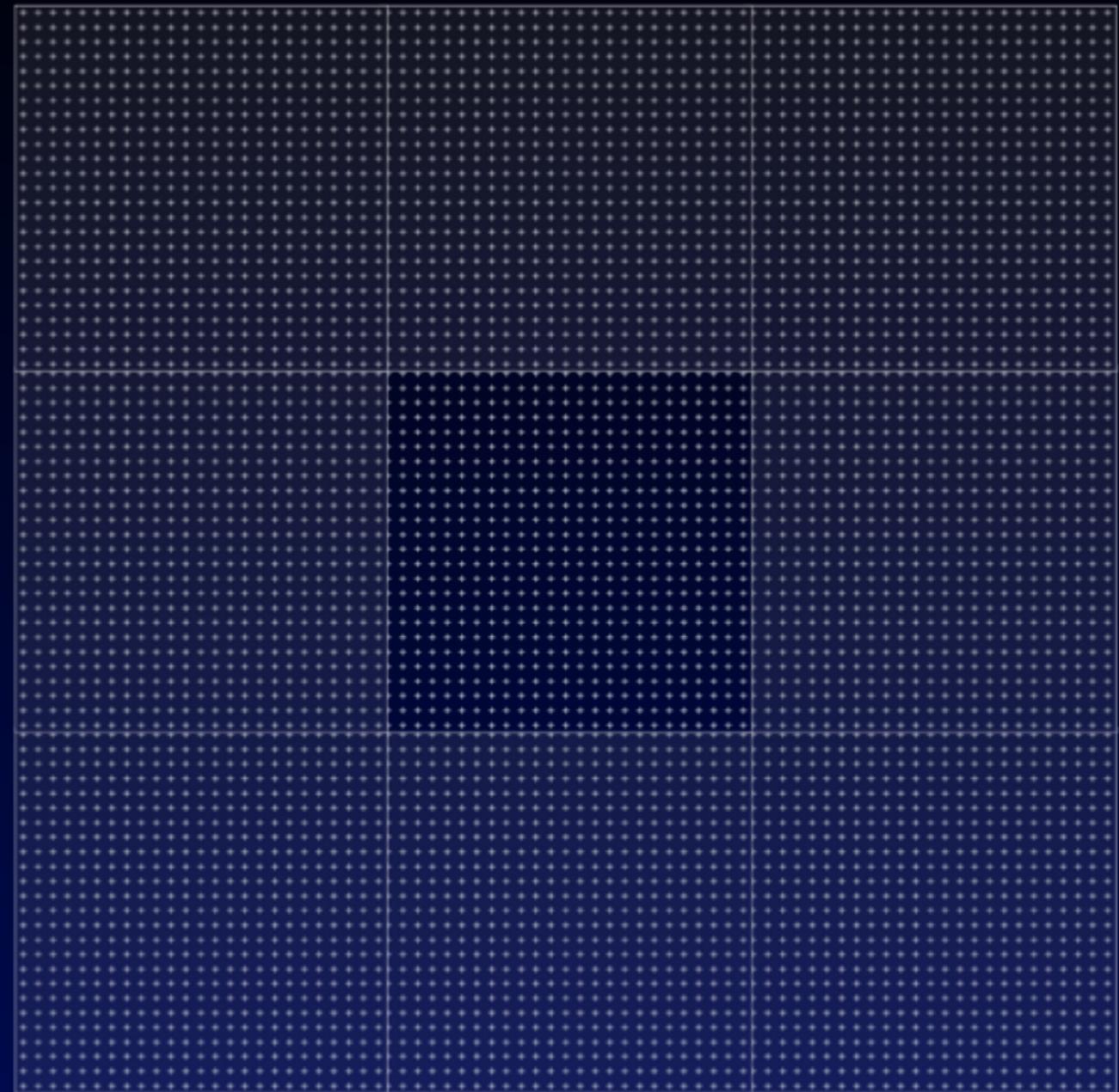
- On large scales, the properties of the Universe are the same to all observers
- Homogeneity: Universe looks the same at every location
- Isotropy: Universe looks the same in every direction
- Discretize density field into particles



homogeneous & isotropic

# Cosmological Principle

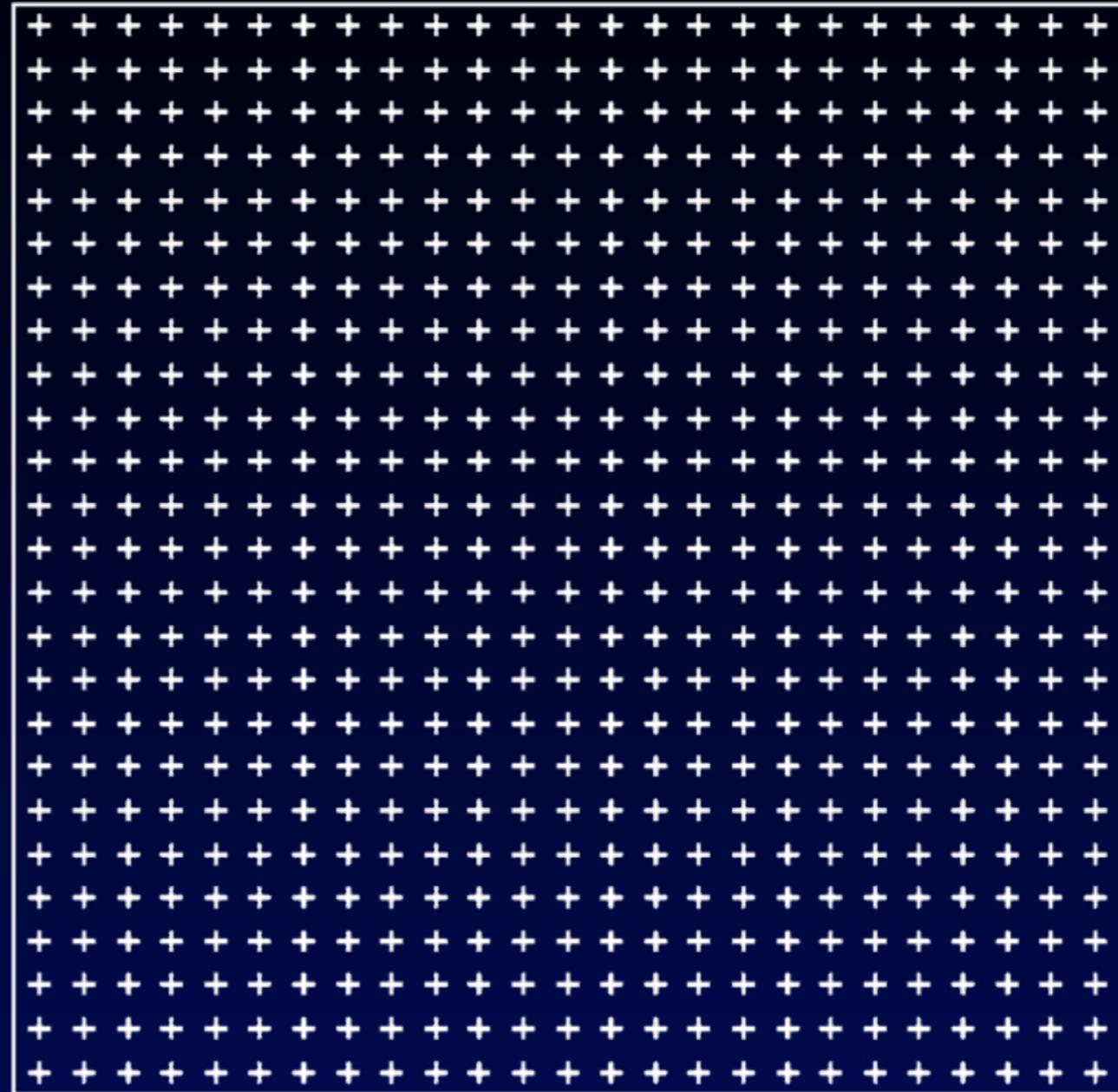
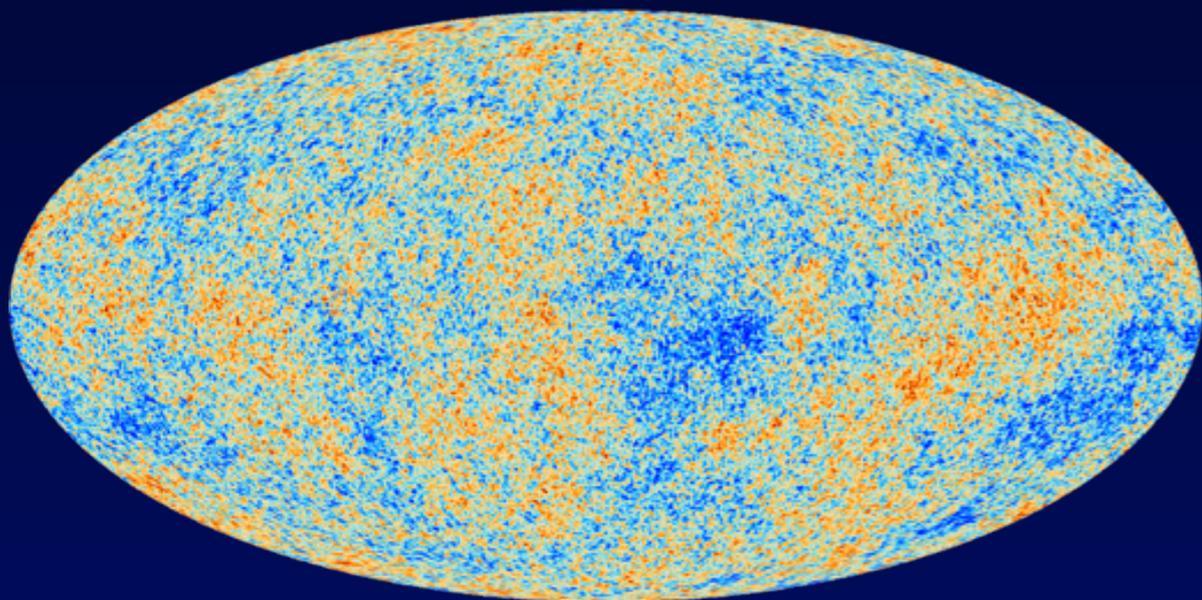
- On large scales, the properties of the Universe are the same to all observers
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- Discretize density field into particles



infinite  
(periodic boundary conditions)

# Perturbations

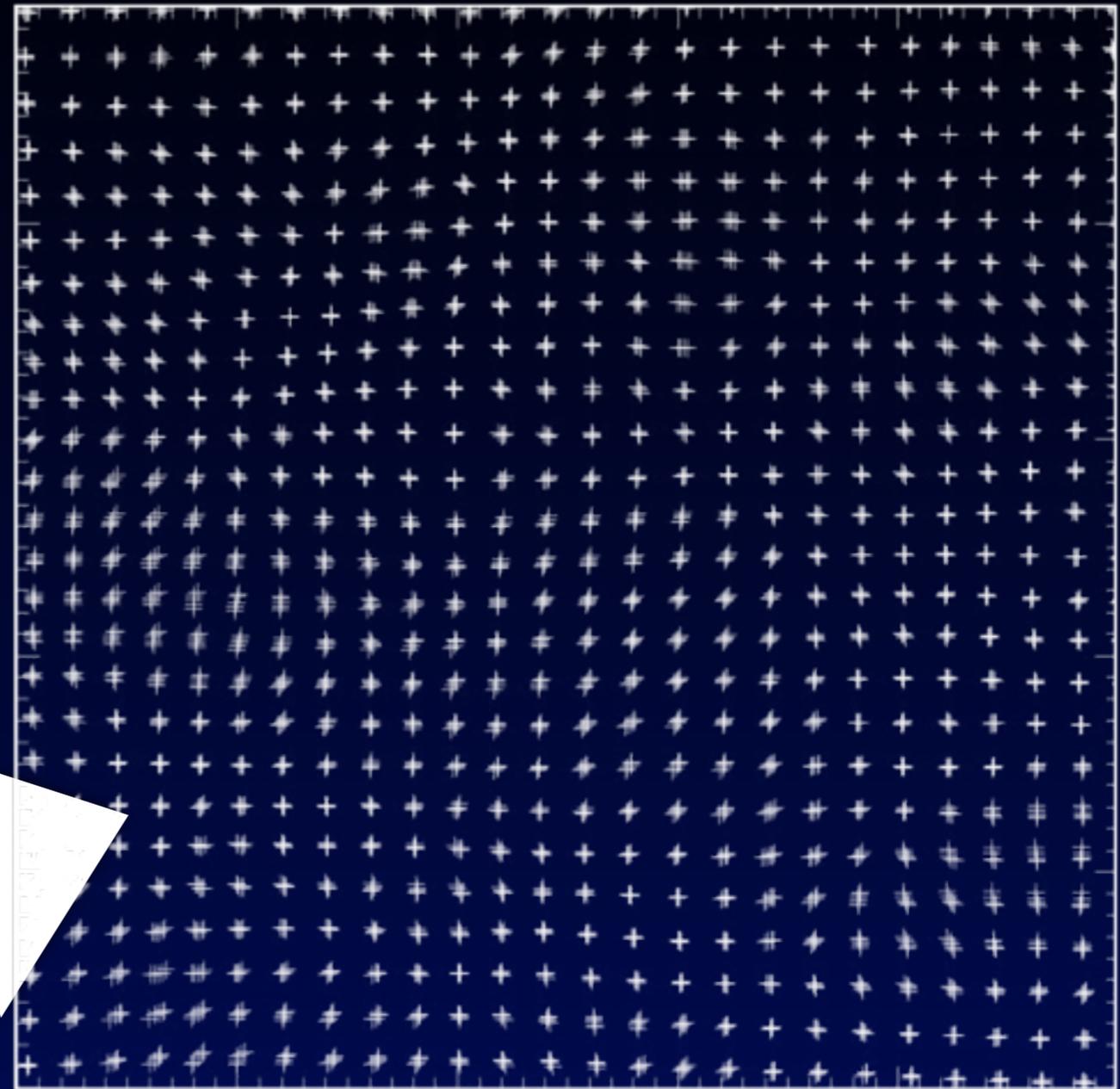
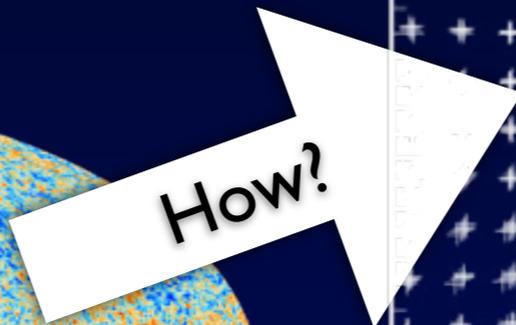
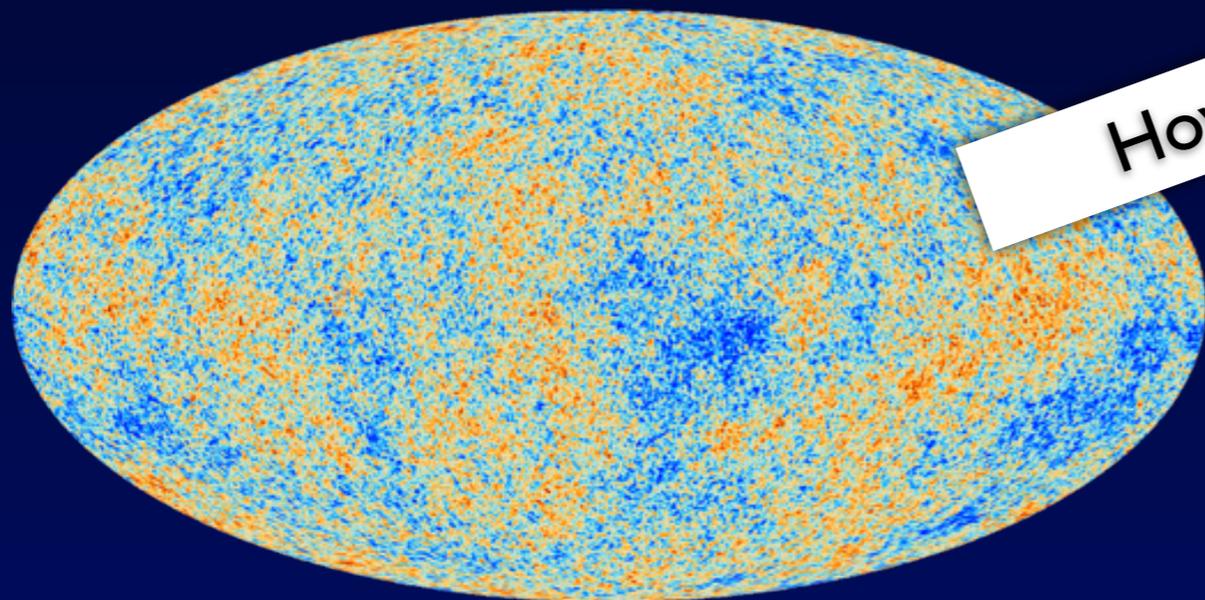
- Universe shows small density fluctuations already at high  $z$  (see CMB)
- Convert CMB fluctuations to density perturbations



homogeneous & isotropic

# Perturbations

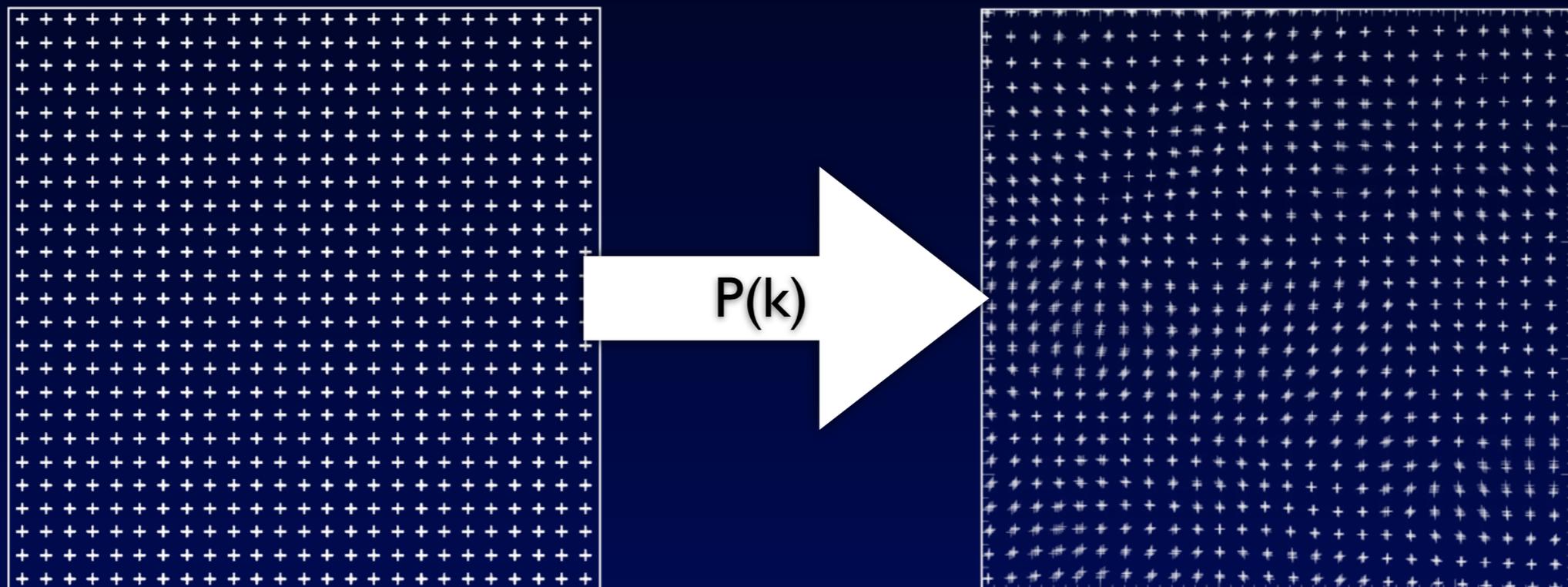
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perturbed density field

# The power spectrum

- Use the power spectrum to describe the density fluctuations
- From inflation:  $P_i(k) = Ak^n$
- Temporal evolution (in the linear regime):  $P(k, t) = P_0(k)D^2(t)$



- How to impose a spectrum of fluctuations on a particle distribution?

# The Zel'dovich Approximation

- Substitute  $\delta(a) = \delta_0 D(a)$  into Poisson equation (with  $\bar{\rho} = \bar{\rho}_0/a^3$ ):

$$\vec{\nabla}^2 \phi = 4\pi G a^2 \bar{\rho} \delta = 4\pi G a^2 \frac{\bar{\rho}_0}{a^3} D(a) \delta_0 = \frac{D(a)}{a} \vec{\nabla}^2 \phi_0$$

- So the potential also grows as  $\phi(a) = \frac{D(a)}{a} \phi_0$

- In a matter dominated universe:  $D(a) \sim a \rightarrow \phi(a) = \phi$

- Integrate continuity equation  $\frac{\partial \vec{u}}{\partial t} + \frac{\dot{a}}{a} \vec{u} = -\frac{1}{a} \vec{\nabla} \phi$  or  $\frac{\partial(a\vec{u})}{\partial t} = -\vec{\nabla} \phi$

$$\vec{u} = -\frac{\vec{\nabla} \phi_0}{a} \int \frac{D}{a} dt$$

- Growth function satisfies the growth equation:

$$\ddot{D} + 2\frac{\dot{a}}{a}\dot{D} = 4\pi G \frac{\bar{\rho}_c}{a^3} D \quad \text{or rewritten:} \quad \frac{1}{a^2} \frac{\partial(a^2 \dot{D})}{\partial t} = 4\pi G \frac{\bar{\rho}_c}{a^3} D$$

# The Zel'dovich Approximation

- Integrate this yields  $\frac{a^2 \dot{D}}{4\pi G \bar{\rho}_c} = \int \frac{D}{a} dt$

- Use this with the integrated continuity equation to get

$$\dot{\vec{x}} = \frac{\vec{u}}{a} = -\frac{\vec{\nabla} \phi_0}{a^2} \frac{a^2 \dot{D}}{4\pi G \bar{\rho}_c} = -\frac{\dot{D} \vec{\nabla} \phi_0}{4\pi G \bar{\rho}_c}$$

- Integrate this to get the Zel'dovich approximation:

$$\vec{x} - \vec{x}_0 = -\frac{D \vec{\nabla} \phi_0}{4\pi G \bar{\rho}_c} = -\frac{a \vec{\nabla} \phi(a)}{4\pi G \bar{\rho}_c} \quad \text{with the unperturbed position } \vec{x}_0$$

- This formulation can be used to extrapolate the evolution of structures into the regime where displacements are no longer small

# Displacing particles on a grid

- How apply the Zel'dovich approximation to a grid?

- Define  $\vec{\psi} = \vec{x} - \vec{x}_0$  and its Fourier transform  $\vec{\psi}_{\vec{k}} = -\frac{a(i\vec{k})\phi_{\vec{k}}}{4\pi G\bar{\rho}_c}$

- With Poisson's equation  $-k^2\phi_{\vec{k}} = \frac{4\pi G\bar{\rho}_c\delta_{\vec{k}}}{a}$  we get  $\vec{\psi}_{\vec{k}} = i\vec{k}\frac{\delta_{\vec{k}}}{k^2}$

- Use the power spectrum  $P(k) = \langle |\hat{\delta}(k)|^2 \rangle$  :  $\delta_{\vec{k}} = \sqrt{P(k)}R_{\vec{k}}e^{i\phi_{\vec{k}}}$

with  $R_{\vec{k}}e^{i\phi_{\vec{k}}} = R_1 + iR_2$  where  $R_1$  and  $R_2$  are drawn from

Gaussian with standard deviation of 1 (P is only ensemble average)

- Initial velocities are given by  $\dot{\vec{x}} = \frac{\dot{D}}{D}\vec{\psi} \rightarrow \vec{v}_{\vec{k}} = a\frac{\dot{D}}{D}\vec{\psi}_{\vec{k}}$

# Limitations of this method

- There are a number of parameters that have to be chosen:

- ▶ Box size  $B$

- ▶ Number of particles  $N$

- ▶ Starting redshift  $z_i$

- In practice there are several constraints on these:

- ▶ Minimal modes that are included:  $2B/\sqrt[3]{N}$

- ▶ Largest mode has to stay linear:  $B \geq 2\pi/k_{nl} \sim 20Mpc$

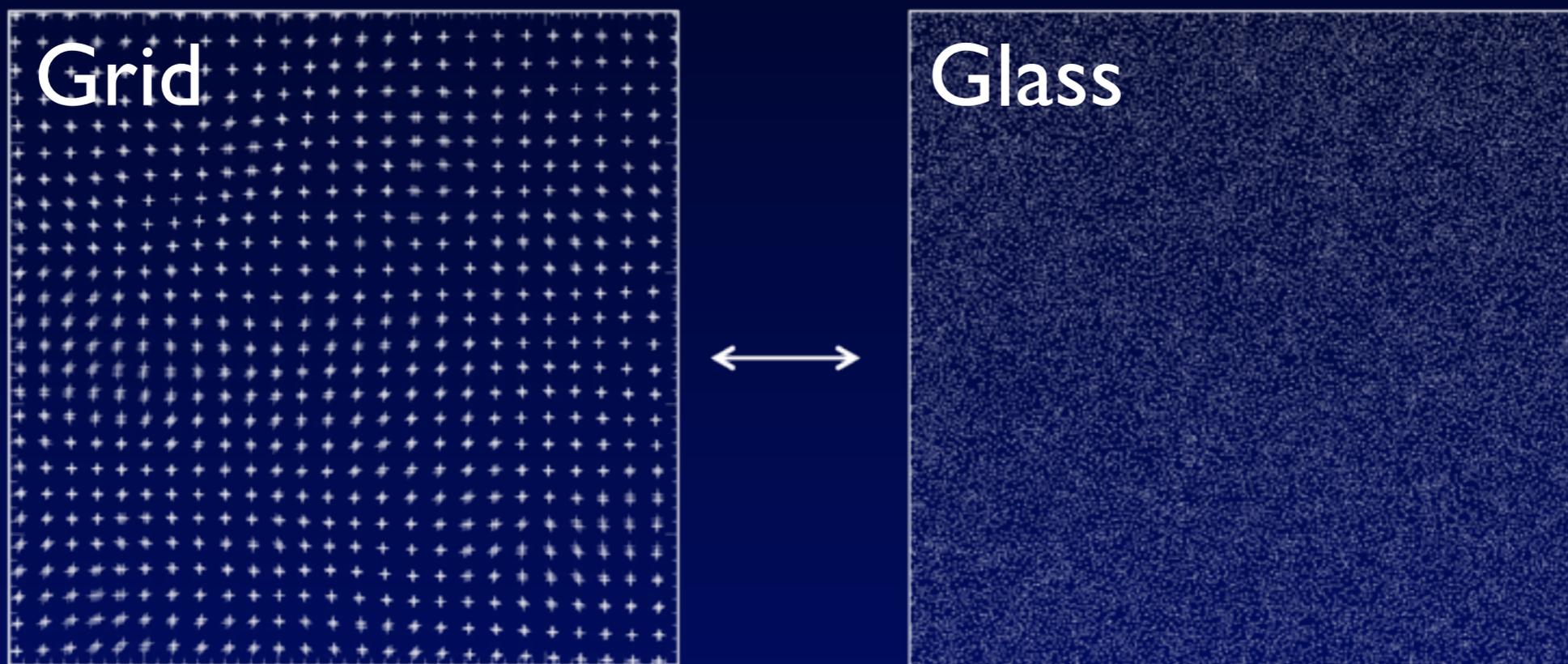
- ▶ Starting redshift (typically  $40 < z_i < 80$ ):

Too late: shell crossing not taken into account

Too early: numerical noise is integrated

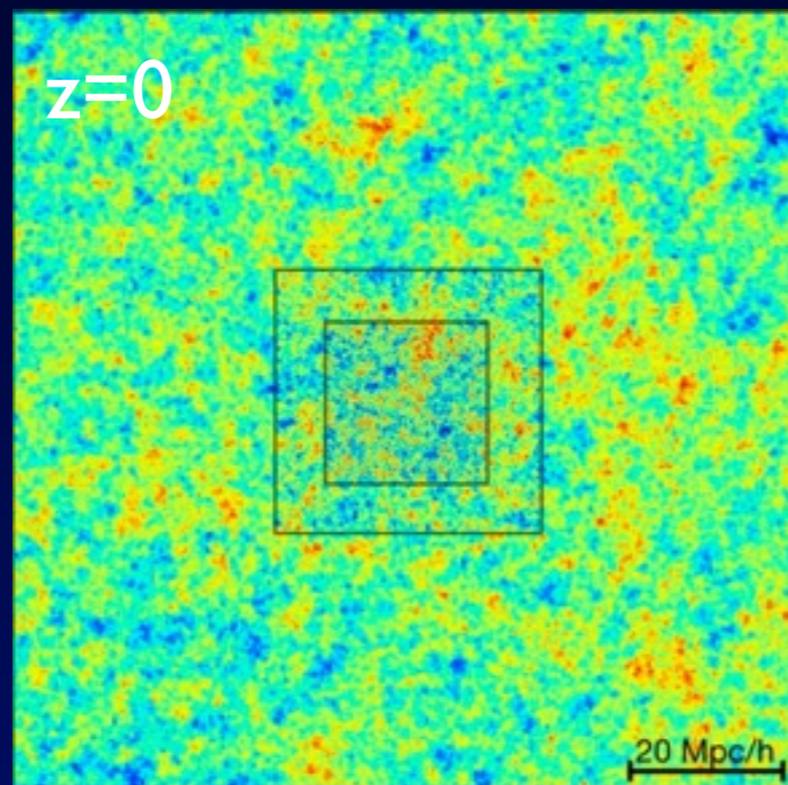
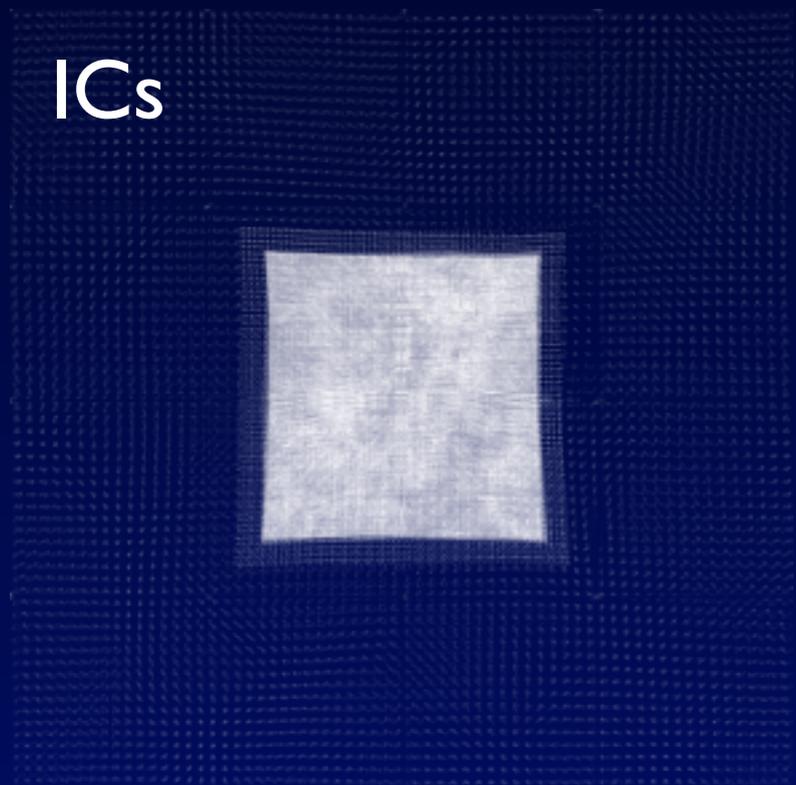
# Grid vs. Glass ICs

- Alternative to Grid ICs are Glass ICs
  - ▶ Start with random positions for particles in the box
  - ▶ Evolve box forward in time under gravity BUT with reversed sign
  - ▶ Use resulting particle positions for Zel'dovich approximation
- Just cosmetics?



# Zoom simulations

- Increasing the resolution of the box becomes very expensive
- Alternative: just increase the resolution in the area of interest:
  - 1) Run low resolution simulation and identify interesting object(s)
  - 2) trace back particles of that object to initial positions in ICs
  - 3) resample this area with more particles and rerun the simulation
- Disadvantage: only one system simulated - no statistics



# N-GenIC

Nmesh	128	% This is the size of the FFT grid
Nsample	128	% sets the maximum k that the code uses, % Ntot = Nsample <sup>3</sup> , where Ntot is the
Box	150000.0	% Periodic box size of simulation
FileBase	ics	% Base-filename of output files
OutputDir	./ICs/	% Directory for output
GlassFile	glass.dat	% File with unperturbed glass or grid
TileFac	8	% Number of times the glass file is tiled
Omega	0.3	% Total matter density (at z=0)
OmegaLambda	0.7	% Cosmological constant (at z=0)
OmegaBaryon	0.0	% Baryon density (at z=0)
HubbleParam	0.7	% Hubble parameter
Redshift	63	% Starting redshift
Sigma8	0.9	% power spectrum normalization

# N-GenIC

```
SphereMode      1  % if "1" only modes with  $|k| < k_{\text{Nyquist}}$  are used
WhichSpectrum  0  % "1" selects Eisenstein & Hu spectrum,
                % "2" selects a tabulated power spectrum
                % otherwise, Efstathiou parametrization is used

FileWithInputSpectrum  spectrum.txt  % tabulated input spectrum
InputSpectrum_UnitLength_in_cm  3.085678e24  % defines length unit
ReNormalizeInputSpectrum  1  % if zero, spectrum assumed to be normalized

ShapeGamma      0.21  % only needed for Efstathiou power spectrum
PrimordialIndex  1.0  % may be used to tilt the primordial index

Seed            123456  % seed for IC-generator

NumFilesWrittenInParallel  2  % limits the number of files that are written

UnitLength_in_cm      3.085678e21  % defines length unit (in cm/h)
UnitMass_in_g         1.989e43  % defines mass unit (in g/cm)
UnitVelocity_in_cm_per_s  1e5  % defines velocity unit (in cm/sec)
```

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# Why simulate isolated disc systems?

- Set up individual system(s) and simulate/study those
- Advantage: much higher resolution (more particles per system)  
'Nice' discs can be studied (often hard in cosmological runs)
- Disadvantage: Cosmological background is neglected (no infall, etc)



# Disc Galaxies

- What does a disc galaxy system consist of?
- Stellar disc
- Gaseous disc
- Stellar bulge
- Hot gaseous halo
- Dark matter halo



# The dark matter halo

- Size of the dark matter halo is given by the virial radius (with mean overdensity  $200\rho_{\text{crit}}$ ) containing the virial mass:  $M_{200} = 200\rho_{\text{crit}} \frac{4\pi}{3} R_{200}^3$

- The virial velocity is  $v_{200}^2 = \frac{GM_{200}}{R_{200}}$  and we have:

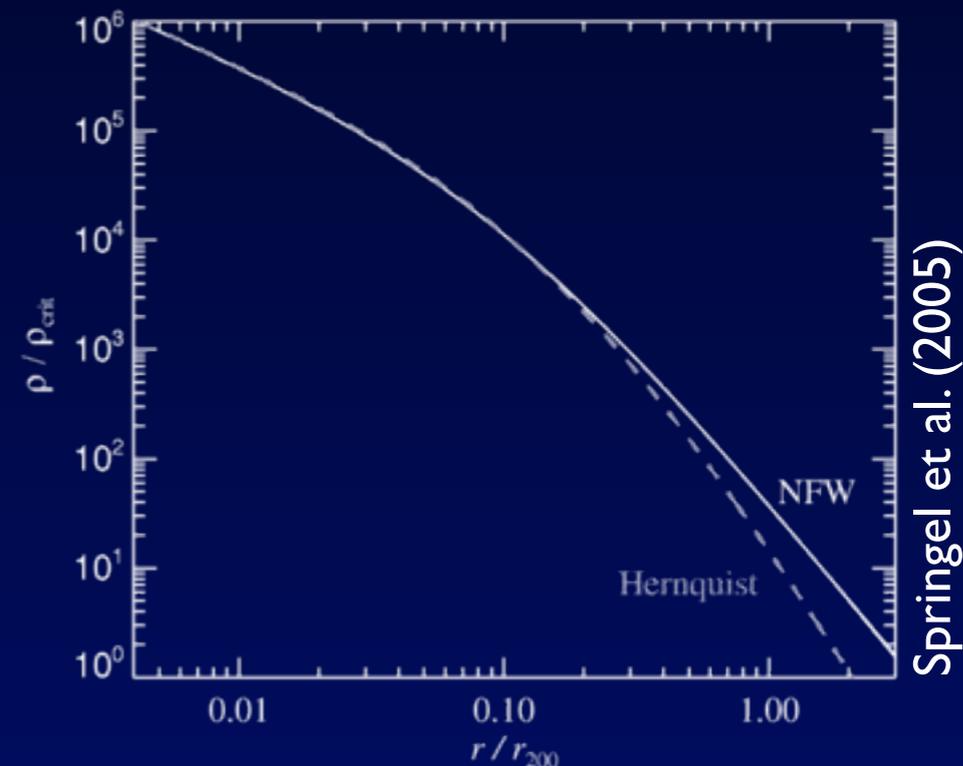
$$M_{200} = \frac{v_{200}^3}{10GH(z)} \quad \text{and} \quad R_{200} = \frac{v_{200}}{10H(z)}$$

- The density profile is the NFW profile:

$$\rho(r) = \frac{\delta_c}{(r/r_s)(1+r/r_s)^2}$$

- Often the Hernquist profile is used:

$$\rho(r) = \frac{M_{dm}}{2\pi} \frac{a}{r(r+a)^3}$$



# The stellar components

- Disc has exponential radial profile:  $\Sigma(R) = \frac{M_d}{2\pi R_d^2} \exp\left(-\frac{R}{R_d}\right)$

Disc scale length  $R_d$  is fixed by assuming the specific angular momentum of disc and dark matter halo are equal

- Vertical profile of the disc given by:  $\rho(z) = \frac{M_d}{2z_0} \operatorname{sech}^2\left(\frac{z}{2z_0}\right)$

- For the bulge the spherical Hernquist profile is assumed:

$$\rho_b(r) = \frac{M_b}{2\pi} \frac{r_b}{r(r_b + r)^3}$$

- The bulge is typically assumed to be non-rotating

# The gaseous components

- Like the stellar disc, the gaseous disc has exponential radial profile
- The vertical profile of the gas disc cannot be chosen freely because it depends on the temperature of the gas
- For a given surface density, the vertical structure of the gas disc arises as a result of self-gravity and pressure:  $-\frac{1}{\rho_g} \frac{\partial P}{\partial z} = \frac{\partial \Phi}{\partial z}$
- Hot gaseous halo follows a beta-profile:  $\rho(r) = \rho_0 \left[ 1 + \left( \frac{r}{r_c} \right)^2 \right]^{-\frac{3}{2}\beta}$
- The gas temperature is determined using hydrostatic equilibrium:

$$T(r) = \frac{\mu m_p}{k_B} \frac{1}{\rho_{\text{hot}}(r)} \int_r^\infty \rho_{\text{hot}}(r) \frac{GM(r)}{r^2} dr$$

# Creating a particle representation of a galaxy

- We know the density profiles of each component.

How can we put the particles such that these are reproduced?

- First step compute mass profile:  $M(r) = \int_0^r \rho(r') d^3 r'$
- Invert  $M(r)$ , possibly analytically, otherwise numerically
- Draw random number  $q$  from uniform distribution between 0 and 1
- Radius is given by:  $r = r(q M_{tot})$
- For spherical distribution:  
(with random  $\theta$  and  $\phi$ ) 
$$\begin{pmatrix} x \\ y \\ z \end{pmatrix} = r \begin{pmatrix} \sin \theta \cos \phi \\ \sin \theta \sin \phi \\ \cos \theta \end{pmatrix}$$

# Velocity structure

- To get the velocities: solve the collisionless Boltzmann equation
- Alternative: assume that velocity distribution can be approximated by a multivariate Gaussian  $\rightarrow$  only 1st and 2nd moments needed
- For static + axisymmetric system: E and  $L_z$  are conserved along orbits

If distribution function depends only on E and  $L_z$  the moments are:

$$\bar{v}_R = \bar{v}_z = \bar{v}_R \bar{v}_z = \bar{v}_z \bar{v}_\phi = \bar{v}_R \bar{v}_\phi = 0$$

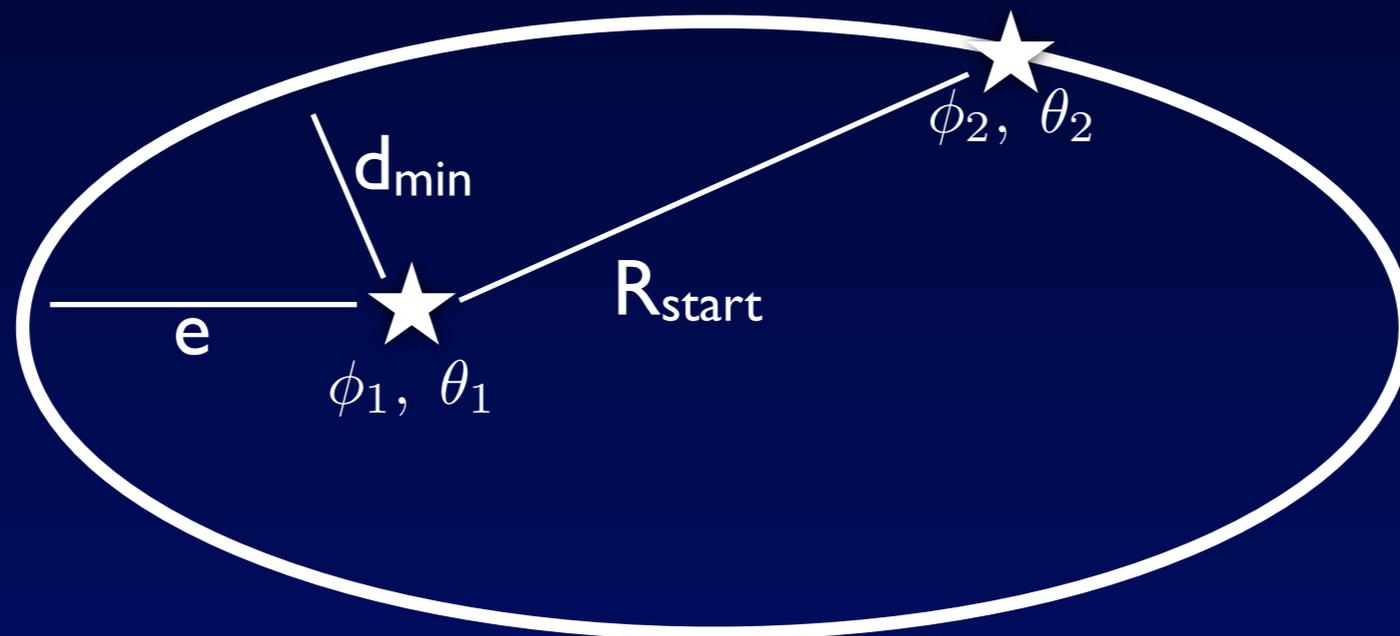
$$\bar{v}_R^2 = \bar{v}_z^2 = \frac{1}{\rho} \int_z^\infty dz' \rho(R, z') \frac{\partial \Phi}{\partial z'}(R, z')$$

$$\bar{v}_\phi^2 = \bar{v}_R^2 + \frac{R}{\rho} \frac{\partial}{\partial R} (\rho \bar{v}_R^2) + R \frac{\partial \Phi}{\partial R}$$

- Must be solved numerically

# The orbit of galaxy mergers

- For simulating galaxy mergers: put 2 systems on orbit.
- Parameters that specify the merger orbit:
  - ▶ Initial separation  $R_{\text{start}}$  (typically around the virial radius  $R_{200}$ )
  - ▶ Pericentric distance  $d_{\text{min}}$  (if galaxies were point masses)
  - ▶ Orbital eccentricity  $e$  (usually parabolic orbits, i.e.  $e=1$ )
  - ▶ Orientation of discs with respect to orbital plane:  $\phi_1, \theta_1, \phi_2, \theta_2$



# Final notes

- Text Books:
  - ▶ Cosmology: Galaxy Formation and Evolution (Mo, vdBosch, White)
  - ▶ Galactic Structure: Galactic Dynamics (Binney, Tremaine)
- Papers:
  - ▶ Bertschinger (2001), ApJS, 137, 1
  - ▶ Springel & White (1999), MNRAS, 307, 162
  - ▶ Springel et al. (2005), MNRAS, 62, 79
- Gadget and N-GenIC website:  
<http://www.mpa-garching.mpg.de/gadget/>

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