## CP violation and baryogenesis

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## The baryon asymmetry

- The universe appears to be made of matter, with little/no antimatter content.
- Almost no antimatter in cosmic rays.
- Lack of X-ray spectrum from annihilation processes in local cluster of galaxies -> no antimatter within 20 Mpc.
- No excess flux of red-shifted diffuse Xrays from larger distances -> appears to rule out Universe with large bubbles of antimatter.

• Baryon content specified by ratio to photons:

$$\eta = \frac{n_B + n_{\overline{B}}}{n_{\gamma}} \approx \frac{n_B}{n_{\gamma}} \approx 10^{-10}$$

- Would expect BB to create all possible particle states in proportion to their degrees of freedom. High number of photons because matter-antimatter annihilation converted (almost) everything to radiation.
- Calculation for equal initial numbers of baryons and antibaryons leads to almost total annihilation with  $\eta \approx 10^{-20}$
- Conclude: either there was always more matter, or some dynamic process created difference.

## Sakharov conditions

- Dynamic baryogenesis requires
- Departure from thermal equilibrium
  - -> otherwise any reaction creating baryons will be in equilibrium with the inverse reaction. Natural in BB cosmologies
- Baryon number violating processes
  - -> obviously! (Allowed in SM)
- C and CP violating processes
  - -> since both change baryon <-> antibaryon Observed in SM.

# C and P symmetries

• P violated in weak interaction couplings:  $(A_{RH particle} = A_{LH antipaticle}) \neq (A_{LH particle} = A_{RH antiparticle})$ but C changes particle <-> antiparticle Maybe CP is good quantum number?

If so, particle interactions will look the same before and after a CP transformation and CP is "conserved"





## The CKM matrix

V<sub>CKM</sub> describes rotation between the weak eigenstates (d',s',b') and mass eigenstates (d,s,b)



CPV due to complex phases of CKM matrix elements Unitary matrix so only 4 free parameters in total: 3 angles and one phase.



## The Kaon system $K^0 = d\overline{s}$ (s = +1) $\overline{K}^0 = \overline{d}s$ (s = -1) strangeness eigenstates of strong interaction $C|K^{0}\rangle = -|\overline{K}^{0}\rangle$ $C|\overline{K}^{0}\rangle = -|K^{0}\rangle$ $P|K^{0}\rangle = -|K^{0}\rangle \qquad P|\overline{K}^{0}\rangle = -|\overline{K}^{0}\rangle$ hence $CP|K^{0}\rangle = +|\overline{K}^{0}\rangle \qquad CP|\overline{K}^{0}\rangle = +|K^{0}\rangle$ $K^0$ and $\overline{K}^0$ are not CP eigenstates but $\left| \mathbf{K}_{1} \right\rangle = \frac{1}{\sqrt{2}} \left( \left| \mathbf{K}^{0} \right\rangle + \left| \overline{\mathbf{K}}^{0} \right\rangle \right) \quad \left| \mathbf{K}_{2} \right\rangle = \frac{1}{\sqrt{2}} \left( \left| \mathbf{K}^{0} \right\rangle - \left| \overline{\mathbf{K}}^{0} \right\rangle \right)$ give $CP|K_1\rangle = +|K_1\rangle$ $CP|K_2\rangle = -|K_2\rangle$ 1/30/13 Astroparticle

## Mass eigenstates

 State propagating through free space must be mass eigenstate.



# Measuring CP in Kaon decays $K^0 \rightarrow \pi^0 \pi^0$

- Pions are identical bosons, so wavefunction unchanged if swapped: P=+I
- $\pi^0$  is its own antiparticle: C=+I
- Hence two-pion decays are CP=+1
- (same for pair of charged pions)

Measuring CP in Kaon decays  $K^0 \rightarrow \pi^0 \pi^0 \pi^0$ 

• For any pair of pions, L must be even (identical particles):  $P_{\pi\pi} = +1$ 

• Intrinsic 
$$P_{\pi}$$
=-Iso  $P_{\pi\pi\pi}$ =-I

- Pion is its own antiparticle: C=+I
- Hence three-pion decays are CP=-I
- (same for  $\pi^0\pi^+\pi^-$ )



- Observe 2 and 3 pions decays: these come from CP eigenstates K<sub>1</sub> and K<sub>2</sub>
- 2 pion mode has more free energy -> shorter lifetime. Predict decays in a beam of kaons:





## Kaon data

- 1956 Lande et al (PR 103(1956)1901 observed 26 3-body decays 6m from target (after 100 "short" lifetimes)
- 1964 Christenson et al (PRL 13(1964)138 observed 22700 decays. 45+-9 2-pion decays over 30m from target.
- Proof that long and short lifetime states both contain CP-even – and hence it is not the mass eigenstate. CP is not conserved!

#### [Christenson, Cronin, Fitch and Turlay (1964)]



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14



- Observed rate of CP violation in the kaon system is of order  $2 \times 10^{-3}$
- But kaon tightly bound meson decay amplitudes enhanced by non-perturbative corrections.
- Cannot expect such large effects in early Universe from SM CP violation.
- CPT theorem says any Lorentz invariant field theory must conserve CPT. Hence CPV implies T violation, which is also observed.



### T violation

Electric dipole moment of neutron  $<6x10^{-26}$  e cm

-strong limit on CP and T non-conservation (CPT Theorem)

-strong constraints on Supersymmetry models (which predict extra CP violating terms).

Any neutron EDM must be along z axis defined by its spin



Time reversal flips  $J=rxp \Rightarrow -J=rx(-p)$ , but doesn't alter EDM. Hence EDM=0 if T conserved (but T should be not conserved).

# Calculation of SM CP violation

• Consider decay of X to final state f

$$A_f = \langle f | H | X \rangle$$
 and  $\overline{A}_{\overline{f}} = \langle \overline{f} | H | \overline{X} \rangle$ 

For CP violation need

$$\left|\mathbf{A}_{\mathrm{f}}\right|^{2}-\left|\overline{A}_{\bar{f}}\right|^{2}\neq0$$

Can only happen if two or more processes interfere:

$$A_{f} = A_{1}e^{-i\phi_{1}}e^{i\delta_{1}} + A_{2}e^{-i\phi_{2}}e^{i\delta_{2}}$$
$$\overline{A}_{\overline{f}} = A_{1}e^{+i\phi_{1}}e^{i\delta_{1}} + A_{2}e^{+i\phi_{2}}e^{i\delta_{2}}$$

• Strong phase  $\delta$  has same sign but weak phase  $\phi$  changes sign. A<sub>1,2</sub> are real.

$$A_{f}^{*}A_{f} = \left(A_{1}e^{+i\phi_{1}}e^{-i\delta_{1}} + A_{2}e^{+i\phi_{2}}e^{-i\delta_{2}}\right)\left(A_{1}e^{-i\phi_{1}}e^{i\delta_{1}} + A_{2}e^{-i\phi_{2}}e^{i\delta_{2}}\right)$$
$$= A_{1}^{2} + A_{2}^{2} + 2A_{1}A_{2}\begin{bmatrix}\cos(\phi_{1} - \phi_{2})\cos(\delta_{1} - \delta_{2})\\+\sin(\phi_{1} - \phi_{2})\sin(\delta_{1} - \delta_{2})\end{bmatrix}$$
$$\overline{A}_{\overline{f}}^{*}\overline{A}_{\overline{f}} = A_{1}^{2} + A_{2}^{2} + 2A_{1}A_{2}\begin{bmatrix}\cos(\phi_{1} - \phi_{2})\cos(\delta_{1} - \delta_{2})\\-\sin(\phi_{1} - \phi_{2})\sin(\delta_{1} - \delta_{2})\end{bmatrix}$$
since  $\sin(-\phi) = -\sin(\phi)$ 

$$A_f^* A_f - \overline{A}_{\overline{f}}^* \overline{A}_{\overline{f}} = 2A_1 A_2 \sin(\phi_1 - \phi_2) \sin(\delta_1 - \delta_2)$$

CP violation requires more than one amplitude to interfere, with different strong and weak phases. In SM this occurs in quark loops due to quark mixing.

$$K^{0} \quad \frac{\mathsf{d}}{\mathsf{s}} \quad \underbrace{\overset{\mathsf{u},\mathsf{c},\mathsf{t}}{\overset{\mathsf{u},\mathsf{c},\mathsf{t}}{\overset{\mathsf{s}}{\mathsf{s}}}}_{\mathbf{d}} \quad \overline{\mathsf{K}}^{0}$$

For K system, three amplitudes are involved, for u, c, and t in loop. Strong phases differ because quark masses different.

3x3 CKM matrix allows a relative weak phase to occur which cannot be defined to zero.

If there were only two quark families, there would be no CP violation in the SM.

Effect in K is relatively small because  $V_{td}$ ,  $V_{ts}$  small: larger effects in B meson system.

## Semileptonic Kaon decays

- Look at other kaon decays:  $\frac{\Gamma(K_L^0 \rightarrow e^+ \pi^- v)}{\Gamma(K_L^0 \rightarrow e^- \pi^+ \overline{v})} = 1.00662 \pm 0.00012$
- Can define electron vs positron without ambiguity.
- This shows an absolute difference between matter and antimatter!



## Unitarity triangle



 $V_{CKM}$  is Unitary, V\*V=1 Can create six conditions for offdiagonal elements. If SM correct, triangle is closed.

$$\alpha \equiv \pi - \beta - \gamma$$

$$\gamma = \arg\left[-\frac{V_{ub}^* V_{ud}}{V_{cb}^* V_{cd}}\right] = \tan^{-1}\frac{\eta}{\rho} \sim 70^{\circ}$$

$$\beta = \arg\left[-\frac{V_{cb}^* V_{cd}}{V_{tb}^* V_{td}}\right] = \tan^{-1} \frac{\overline{\eta}}{1 - \overline{\rho}} \sim 21^{\circ}$$

### LHCb

An experiment dedicated to the search for New Physics in heavy flavours: need high statistics and good particle ID

Forward single arm spectrometer

Excellent tracking precision silicon VELO detector





Excellent particle identification

2 RICH detectors

 $\pi/K$  separation over  $p \sim 2-100$  GeV

### Efficient Trigger

Low  $p_T$  lepton,  $\gamma/\pi^0$  & hadron thresholds



42 VELO modules r and φ layer n<sup>+</sup>n type 2048 strips/sensor Strip pitch 40-100 mm

### Beam's eye view

### A VELO half during installation



### LHCb RICH Detectors

Particle ID: p~2-100 GeV provided by 2 RICH detectors



LHCb sees large CP violation effects in B meson decays

B<sup>+</sup> decays to KKp much more often than its antiparticle B<sup>-</sup>

(Note: no charm quark in this final state)



## State of the art – CPV in SM



$$\alpha = (90.5^{+4.3}_{-4.1})^{\circ}$$

$$\beta = (21.7 \pm 0.8)^{\circ}$$

$$\gamma = (67.7^{+4.1}_{-4.3})^{\circ}$$

Everything consistent with SM CKM mechanism: need new physics in early universe!

![](_page_26_Picture_0.jpeg)

## SM CPV in early Universe

- At high T can use perturbative estimate:
- Need interference involving all 3 families and at least 8 weak vertices:

![](_page_26_Figure_4.jpeg)

![](_page_26_Figure_5.jpeg)

![](_page_26_Picture_6.jpeg)

FIG. 2. A typical contribution to  $\Delta B$  from  $\phi$  decay in the KM model.

FIG. 1. A tree and one-loop diagram whose interference fails to give a  $\Delta B$  in the KM model.

Leads to  $\eta << 10^{-18}$ : far too small – new physics needed!

Out of equilibrium decay Consider BSM model with  $X \rightarrow qq$  (at rate r),  $\overline{q}l$  (at rate 1 - r)  $\overline{X} \to \overline{q} \overline{q} (\overline{r}) \qquad , ql (1 - \overline{r})$ from CPT  $M_X = M_{\overline{X}}$  but with CPV  $r \neq \overline{r}$ Start with  $n_r = n_{\overline{r}}$ Decays cause baryon asymmetry:  $\begin{bmatrix} 2 & (1) \end{bmatrix} \begin{bmatrix} (2) & (1) \end{bmatrix}$ 

$$n_B - n_{\overline{B}} = n_X \left[ r \frac{2}{3} + (1 - r) \left( -\frac{1}{3} \right) \right] + n_{\overline{X}} \left[ \overline{r} \left( -\frac{2}{3} \right) + (1 - \overline{r}) \left( \frac{1}{3} \right) \right]$$
$$= n_X (r - \overline{r})$$

But if universe was in thermal equilibrium,  $n \propto \exp(-M/T)$ so would expect  $n_B = n_{\overline{B}}$  always! Astroparticle

![](_page_28_Picture_0.jpeg)

- In equilibrium, decay (and annihilation) processes balanced by their inverse creation processes: no asymmetry possible
- But if expansion rate H>reaction rate, can get excess n<sub>X</sub>, larger than equilibrium value.
- When age of Universe exceeds decay lifetime, excess will decay away leaving asymmetry.
- -> Requires weakly coupled X in order to give slow reaction rates.
- -> Process happens at early time.

![](_page_29_Picture_0.jpeg)

## GUTS and BSM models

 In GUTS and BSM models, quarks and leptons occur in same multiplets, and such processes are natural

eg SU(5) contains 5-plet with

$$\psi_L = (d_R, d_G, d_B, e^-, -\upsilon_e)_L$$

X and Y bosons create transitions between the leptons and the (red/green/blue) dquark components.

![](_page_30_Picture_0.jpeg)

- Leads to many free phases between fields, and hence large CPV effects
- Normally implemented in a GUT framework with baryon-violating transitions.

![](_page_31_Picture_0.jpeg)

## Summary

- Baryon asymmetry in Universe must involve CP and B violating processes
- These occur in the SM but at much too low a level to explain observations
- Extensions to SM predict larger CPV sources
- B-physics experiments will clarify data